



Practitioner's Docket No. 2308/102

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Sunstein, Bruce D. ; Shapiro, Eileen C.

Application No.: 09/448,722

Group No.: 2766

Filed: 11/24/1999

Examiner: Not yet assigned

For: Apparatus and Method for Authenticated Multi-User Personal Information Database

**Commissioner for Patents
Washington, D.C. 20231**

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AMENDMENT TRANSMITTAL

Technology Center 2100

1. Transmitted herewith is an amendment for this application.

STATUS

2. Applicant is a small entity. A statement was already filed.

EXTENSION OF TERM

3. The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136 apply. Applicant believes that no extension of term is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. 1.8(a))

I hereby certify that, on the date shown below, this correspondence is being:


MAILING

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

FACSIMILE

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: January 24, 2002


Signature

Keith J. Wood

(type or print name of person certifying)

need for a petition for extension of time.

FEE FOR CLAIMS

4. The fee for claims (37 C.F.R. 1.16(b)-(d)) has been calculated as shown below:

| | (Col. 1) | | (Col. 2) | (Col. 3) | SMALL ENTITY | |
|--|---|-------|---------------------------------------|------------------|--------------|----------------|
| | Claims Remaining After Amendment | | Highest No. Previously Paid For | Present Extra | Rate | Addit. Fee |
| Total | 47 | Minus | 46 | = 1 | x \$9 = | \$9 |
| Indep. | 5 | Minus | 5 | = 0 | x \$40 = | \$0 |
| First Presentation of Multiple Dependent Claim | | | | | + \$135 = | \$0 |
| Total | | | | | | Addit. Fee \$9 |

* If the entry in Col. 1 is less than the entry in Col. 2, write 00 in Col. 3,

** If the Highest No. Previously Paid For IN THIS SPACE (Column 2, Row 1) is less than 20, enter 20.

*** If the Highest No. Previously Paid For IN THIS SPACE (Column 2, Row 2) is less than 3, enter 3.

The Highest No. Previously Paid For (Total or Indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

Total additional fee for claims required \$9.00


FEE PAYMENT

5. Attached is a check in the sum of \$9.00.

FEE DEFICIENCY

6. If any additional extension and/or fee is required, charge Account No. 19-4972.
If any additional fee for claims is required, charge Account No. 19-4972.

Date: January 24, 2002


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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Sunstein et al.

Serial No: 09/448,722

Date Filed: November 24, 1999

Invention: APPARATUS AND METHOD

FOR AUTHENTICATED MULTI-USER

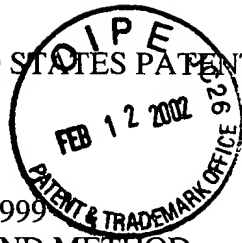
PERSONAL INFORMATION DATABASE

Atty Docket: 2308/102

Art Unit: 2766

Examiner: Not yet assigned

Date: January 24, 2002



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8/26/02
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Date: January 24, 2002

Keith J. Wood

Name of Person Mailing Paper

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Commissioner for Patents
Washington, DC 20231

SECOND PRELIMINARY AMENDMENT

Dear Sir:

Please add new claim 35, to read as follows:

B1 35. (New) A method according to claim 1, wherein any financial information that may be in the data set is not limited to that of a particular banking or financial institution.

REMARKS

Applicants respectfully request entry of a preliminary amendment to the claims to add new claim 35, which specifies that any financial information that may be in the data set is not limited to that of a particular banking or financial institution. Support for this claim exists, for example, in claim 1 as originally filed and in the application, page 11, lines 1-3 (personal information is obtained from the user in an enrollment phase); and page 3, line 26 to page 4, line 6. The latter passage makes clear that "personal